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CHARTERED INTERNAL CONTROLS AUDITOR ™

CICA ™

CICA ™
CHARTERED
INTERNAL CONTROLS
AUDITOR ™

Fully Accredited By:

Chartered Institute of Professional Certifications

CPD
Certification Service

PROGRAM OVERVIEW

Internal control audits have never been more demanding, as regulatory expectations, data challenges, and cross-border operations intensify. The consequences of failure are severe: with the SEC recently imposing **US$8.2 billion in remedies**, control breakdowns now carry direct financial and personal accountability. Rigorous internal control audits are an organization's frontline defense, helping it identify vulnerabilities early, strengthen governance, accelerate remediation, and reduce financial and regulatory exposure.

This certified program equips you with the expertise to evaluate and audit internal control systems that protect organizational value and strengthen governance. You will learn how to assess **control environments, corporate governance structures, and the three lines of defense model**, enabling you to expose weaknesses in oversight and determine with confidence whether controls truly support organizational objectives. Building on this foundation, you will apply **internal control frameworks, risk-based audit planning, and process control mapping** to prioritize high-risk areas, uncover control gaps, and focus audit resources where they matter most.

You will develop practical expertise in **enterprise risk assessment, control design, and audit testing** to determine whether controls are properly designed and genuinely operating as intended. You will then apply **deficiency classification, root cause analysis, and evidence-based reporting** to prioritize findings and accelerate remediation. The program further builds hands-on capability in key regulatory frameworks including **SOX, GDPR, Basel III/IV, and MAS guidelines** to strengthen compliance assurance across complex digital environments.

ACCREDITATIONS

CPD CERTIFIED
The CPD Certification Service

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PROGRAM OVERVIEW

Throughout the program, you will learn to leverage **continuous controls monitoring, key risk indicators, and AI-enhanced anomaly detection** to surface control weaknesses earlier, detect unusual activity more efficiently, and deliver assurance while it still counts. Through real-world case studies and hands-on exercises, you will apply these capabilities to live audit scenarios, building the confidence to make sound control judgments, communicate findings persuasively, and drive management action.

Upon completing the program and passing the Chartered exam, you will attain the **Chartered Internal Controls Auditor (CICA™)** designation. This distinguished credential demonstrates your expertise in internal controls auditing and your ability to identify critical risks, evaluate control effectiveness, and deliver rigorous, risk-based assurance. It elevates your professional standing while proving your capability to help organizations improve governance, strengthen internal controls, and build lasting organizational resilience.

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KEY SKILLS YOU WILL GAIN

From This Program

INTERNAL CONTROLS AUDITING
CONTROL ENVIRONMENT ASSESSMENT
CORPORATE GOVERNANCE EVALUATION
CONTROL FRAMEWORK MAPPING

CONTROL GAP ANALYSIS
ENTERPRISE RISK ASSESSMENT
RISK-BASED AUDIT PLANNING
CONTROL DESIGN EVALUATION

BUSINESS PROCESS MAPPING
SEGREGATION OF DUTIES
AUDIT WALKTHROUGH EXECUTION
AUDIT ENGAGEMENT PLANNING

AUDIT PROGRAM DEVELOPMENT
AUDIT SAMPLING TECHNIQUES
AUDIT EVIDENCE EVALUATION
CONTROL EFFECTIVENESS TESTING
CONTROL DEFICIENCY CLASSIFICATION

ROOT CAUSE ANALYSIS
RISK-RATED AUDIT FINDINGS

YOUR FACULTY DIRECTOR

Pierre Faddoul

Global Finance, Internal Controls and Audit Expert

Pierre Faddoul is a distinguished finance, audit, and investment professional with over 19 years of international experience across Asia, Europe, and the United States. He began his career as **an auditor with PricewaterhouseCoopers (PwC), developing deep expertise in financial controls, audit procedures, risk assessment, financial reporting, and corporate governance**. Over the course of his career, Pierre has **evaluated governance practices, financial performance, risk exposures, and control environments** across multiple industries, while overseeing and assessing more than **US$40 billion** in investments and M&A transactions.

Pierre has held senior roles with Tokio Marine Asset Management, Deutsche Bank Asset & Wealth Management, Aberdeen Asset Management, and BNP Paribas Fortis. He is currently Founder and Managing Director of Square Associates and **Chief Financial Officer at Austrianova**, bringing daily hands-on command of **internal controls, financial governance, and organizational oversight**. He also lectures at ESSEC Business School on Firm Valuation and Mergers & Acquisitions and holds an MBA from ESSEC Business School and a Master's in Economics from Université Paris-II Assas.

OUR PARTICIPANTS

Over 70% of FORTUNE 500 Companies Have Attended Our Accredited Programs Before

PROGRAM AGENDA

MODULE 1 - FOUNDATIONS OF INTERNAL CONTROLS AND GOVERNANCE

- Understanding the Role of Internal Controls
- Corporate Governance Frameworks
- Internal Controls Standards and Regulatory Requirements & Case Study

MODULE 2 - INTERNAL CONTROL FRAMEWORKS AND STANDARDS

- Key Components of an Effective Internal Control Framework
- Intergrating Risk Management and IT Governance
- Applying Control Frameworks and Identifying Gaps

MODULE 3 - RISK MANAGEMENT AND CONTROL DESIGN

- Identifying and Assessing Business Risks
- Developing a Risk-Based Audit Plan
- Designing Effective Internal Controls

MODULE 4 - CONTROL ACTIVITIES AND PROCESS MAPPING

- Documenting Business Process and Controls
- Identifying Key Controls across Business Processes
- Assessing Control Design and Segregation of Duties

MODULE 5 - INTERNAL AUDIT PLANNING AND EXECUTION

- Planning Successful Internal Audit Engagements
- Developing Audit Programs and Testing Approaches
- Conducting Audit Fieldwork and Gathering Evidence

MODULE 6 - TESTING AND EVALUATING INTERNAL CONTROLS

- Control Testing Approaches: From Design to Performance
- Testing Techniques: From Inspection to Data Analytics
- Evaluating Test Results: From Deficiency to Root Cause

MODULE 7 - IT GENERAL CONTROLS (ITGC) AND CYBERSECURITY CONTROLS

- IT General Controls Categories: Access, Change and Technology Operations
- Cybersecurity Control Principles and Leading Practice Frameworks
- Auditing Cloud, Data and Third Party Technology Risks

PROGRAM AGENDA

MODULE 8 - FRAUD RISK MANAGEMENT AND INTERNAL CONTROLS

- Fraud Risk Assessment: The Fraud Triangle & Fraud Typologies
- Building the Anti-Fraud Control Shield: Prevent, Detect and Respond
- Following the Red Flags: Fraud-Focused Auditing and Escalation

MODULE 9 - COMPLIANCE, REGULATORY CONTROLS, AND GOVERNANCE

- Key Regulatory Frameworks and Their Control Implications: SOX (USA), GDPR (EU), Basel III/IV (Banking), MAS Guidelines (Singapore/APAC)
- Building Compliance by Design: From Policy to Continuous Assurance
- Governance, Risk and Compliance (GRC) Platforms

MODULE 10 - REPORTING, CONTINUOUS MONITORING, AND AI-ENABLED AUDIT TRANSFORMATION

- High-Impact Audit Reporting and AI-Assisted Review
- Continuous Controls Monitoring: KRIs, Dashboards and AI-Driven Detection
- The Future-Ready Audit Function: Control Optimization and Digital Transformation

EXAMINATION

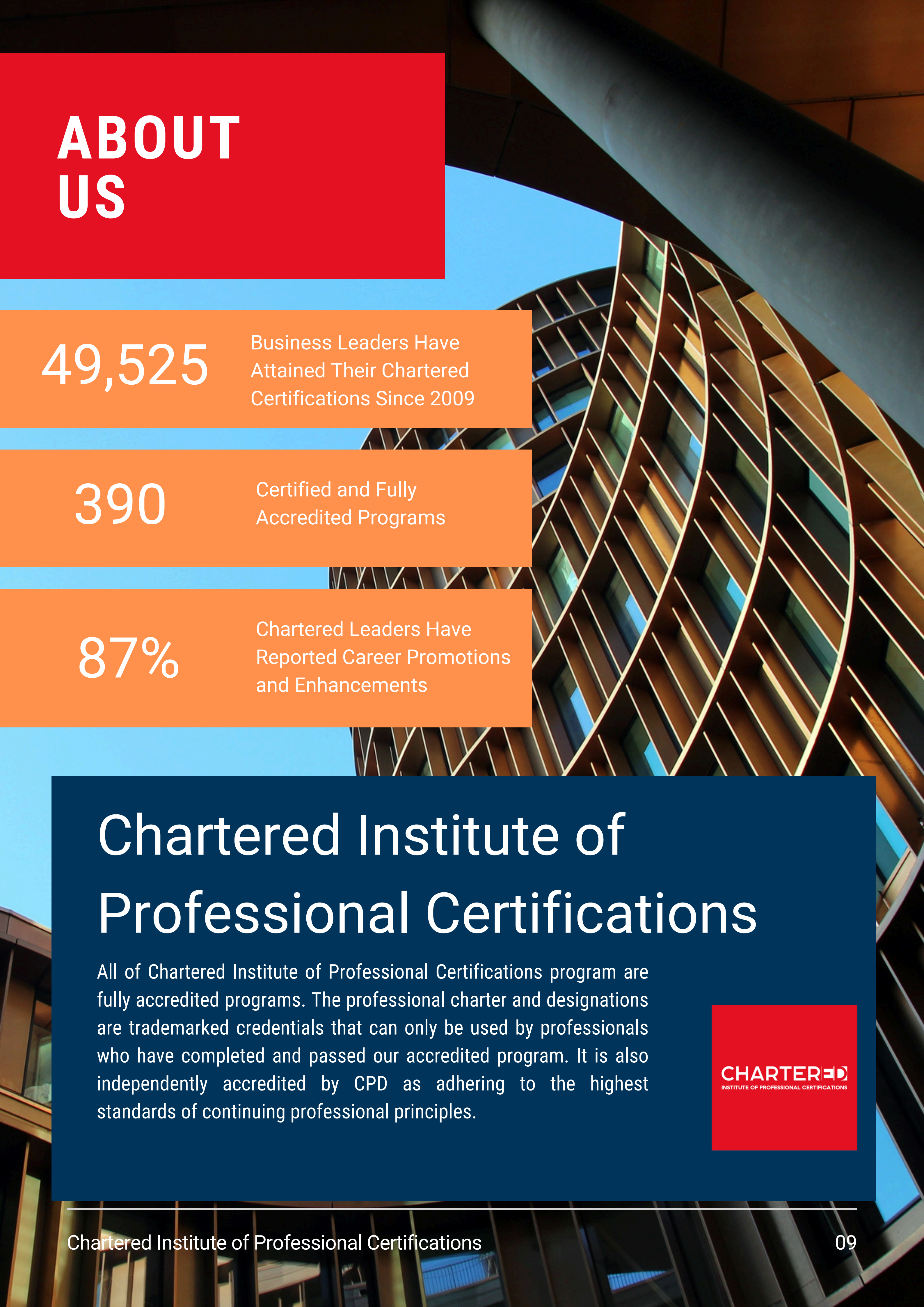
YOUR CHARTER DESIGNATION


CHARTERED
By the vested power in the Board of Governors of Chartered Institute of Professional Certifications, the Advisory Board, Faculty and Curriculum Committee, do hereby certify
Juliana Silva
Has Been Conferred the Designation of
Chartered Internal Controls Auditor ™
CICA™
Has satisfied all the examination and experience requirements, and is duly admitted and licensed to use this charter, board certificate, and membership credentials
And has committed to abide by the membership declaration of ethics, rules of professional conduct and continuing education requirements as a member of Chartered Institute of Professional Certifications
Chair of the Board
CICA
Director

Chartered Institute of Professional Certification's programs are unique as they provide you with professional charter designation and mark that can be used across your lifetime once your have completed our programs.

Upon successfully completing the program and passing the Chartered exam, you will be awarded the prestigious **Chartered Internal Controls Auditor (CICA™)** designation, which you can showcase across your CV, résumé, and professional credentials. This designation demonstrates your expertise across internal controls auditing, risk management, governance, and assurance in complex organizational environments. It validates your ability to identify critical risks, evaluate control effectiveness, deliver rigorous risk-based audits, and provide the actionable assurance that management and boards rely on.

The program's content has been independently accredited and certified by the **Continuing Professional Development (CPD)** organization, guaranteeing adherence to the most rigorous standards of professional development.



ABOUT US

49,525 Business Leaders Have Attained Their Chartered Certifications Since 2009

390 Certified and Fully Accredited Programs

87% Chartered Leaders Have Reported Career Promotions and Enhancements

Chartered Institute of Professional Certifications

All of Chartered Institute of Professional Certifications program are fully accredited programs. The professional charter and designations are trademarked credentials that can only be used by professionals who have completed and passed our accredited program. It is also independently accredited by CPD as adhering to the highest standards of continuing professional principles.

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OUR FACULTY DIRECTORS

We Collaborate With Instructors From Renowned Institutions

Harvard University

Wharton University of Pennsylvania

Stanford University

University of Michigan

LSE The London School of Economics and Political Science

Columbia Business School

London Business School

CONTACT US TODAY

We Thank You for Your Ongoing Support of Our Programs

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